Last Name:		First Initial:

## U.S. VOLKSWAGEN / AUDI / PORSCHE 3.0-L DIESEL EMISSIONS SETTLEMENT PROGRAM CLAIM FORM

If you are the owner of more than one potentially eligible vehicle, you must submit a separate claim form for each vehicle.

To file your claim in the Volkswagen / Audi / Porsche 3.0-L Diesel Emissions Settlement Program, you may:

- (1) File a claim online by visiting <u>www.VWCourtSettlement.com</u>. Online claim submission will result in expedited processing of your claim.
- (2) If you currently own or lease your vehicle, file a paper claim by completing this form and returning it along with any required documentation on or before June 1, 2019 for Generation 1 vehicles and by December 31, 2019 for Generation 2 vehicles by U.S. Mail or fax to the following:

VW / Audi Emissions Settlement Claims Processing P.O. Box 214500 Auburn Hills, MI 48321 FAX: 248-754-6602

If submitting by U.S. mail, the envelope must be <u>postmarked by June 1, 2019 for Generation 1</u> <u>vehicles or December 31, 2019 for Generation 2 vehicles</u>. If submitting by fax, keep a copy of the fax confirmation.

(3) If you no longer own or lease your vehicle, you must complete the steps described in (2) by July 17, 2017.

## Incomplete submissions will not be processed.

IMPORTANT: BEFORE FILLING OUT THIS FORM, READ THIS ENTIRE CLAIM FORM AND THE CLASS LONG FORM NOTICE CAREFULLY. THE CLASS LONG FORM NOTICE CONTAINS ADDITIONAL INFORMATION REGARDING YOUR ELIGIBILITY FOR SETTLEMENT BENEFITS AND OTHER IMPORTANT INFORMATION.

You may have already received a copy of the Class Long Form Notice via email. You can also download a copy for free by visiting <a href="https://www.vWCourtSettlement.com">www.vWCourtSettlement.com</a> or request that a copy be sent to you by calling 1-844-98-CLAIM (1-844-982-5246).

We will contact you by U.S. mail or email (if an email address is provided) to notify you that your form is complete or to request additional information if necessary.

If you would like to submit a claim online, please visit www.VWCourtSettlement.com.

Last Name:			First Initial:
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#### INSTRUCTIONS

\*\*If you need help completing this form, please visit <a href="www.VWCourtSettlement.com">www.VWCourtSettlement.com</a> or call 1-844-98-CLAIM.\*\*

- (1) If you have submitted a claim online via the Online Claims Portal at <a href="https://www.VWCourtSettlement.com">www.VWCourtSettlement.com</a>, you do not need to fill out this form.
- (2) You do <u>not</u> need to fill out every page of this form. You only need to fill out certain portions of this form, as outlined in the box below.
- (3) Please submit every page of this form (even if blank). Submitting all pages will result in faster processing of your claim.
- (4) You <u>must</u> submit the required documentation shown in Steps 4, 5, 6 or 7, depending on your eligibility status. We will contact you if your claim or documents are incomplete.
- (5) You must sign where indicated in Step 8 for your claim to be processed.

To determine which sections of the form you need to complete, see below.

#### • Eligible Owner.

- o If you are the <u>owner</u> of the vehicle, complete: **Steps 1, 2, 3, 4 and 9.**
- o If you totaled your vehicle after January 31, 2017, complete Steps 1, 2, 3, 5 and 9.

#### • Eligible Current Lessee.

- olf you <u>currently lease</u> the vehicle from Volkswagen Credit, Inc., Audi Financial Services, or Porsche Financial Services, Inc., complete: **Steps 1, 2, 3, 6 and 9.**
- olf you <u>formerly leased</u> the vehicle from Volkswagen Credit, Inc., Audi Financial Services, or Porsche Financial Services, Inc., and <u>purchased the vehicle</u> at the end of the lease, complete: **Steps 1, 2, 3, 4 and 9.**

#### • Eligible Former Lessee.

olf you <u>formerly leased</u> the vehicle from Volkswagen Credit, Inc., Audi Financial Services or Porsche Financial Services, Inc., but <u>turned in the vehicle under the terms of the lease</u>, complete: **Steps 1, 2, 3, 7 and 9.** 

#### • Eligible Former Owner.

- o If you <u>owned</u> the vehicle at any point between <u>September 18, 2015</u> and <u>November 2, 2015</u>, but sold or otherwise transferred ownership on or before <u>January 31, 2017</u>, complete: **Steps 1, 2, 3, 8** and **9**.
- If you totaled your vehicle between <u>September 18, 2015</u> and <u>January 31, 2017</u>, complete **Steps 1**,
   2, 3, 8 and 9.

Last Name:		First Initial:

## STEP 1: VEHICLE MODEL AND MODEL YEAR

Check the box next to your vehicle model and model year. Check **only one** box in each column. If you are the owner of more than one potentially eligible vehicle, you must submit a separate claim form for each vehicle.

Volkswagen Eligible Vehicles List				
Model	MODEL YEAR			
☐ 3.0-L TDI Touareg (Generation 1)	□ 2009 □ 2010			
= 0.0 E 151 Todalog (Generation 1)	□ 2011 □ 2012			
☐ 3.0-L TDI Touareg (Generation 2)	□ 2013 □ 2014			
,	□ 2015 □ 2016			
AUDI				
☐ 3.0-L TDI Q7 (Generation 1)	□ 2009 □ 2010			
□ 3.0-L 1D1 Q1 (Generation 1)	□ 2011 □ 2012			
$\square$ 3.0-L TDI Q7 (Generation 2)	□ 2013 □ 2014 □ 2015			
☐ 3.0-L TDI A6 (Generation 2)	□ 2014 □ 2015 □ 2016			
☐ 3.0-L TDI A7 (Generation 2)	□ 2014 □ 2015 □ 2016			
$\square$ 3.0-L TDI A8, A8L (Generation 2)	□ 2014 □ 2015 □ 2016			
$\square$ 3.0-L TDI Q5 (Generation 2)	□ 2014 □ 2015 □ 2016			
PORSCH	lE			
□ 3 0-1 Cayanna (Congration 2)	□ 2013 □ 2014			
☐ 3.0-L Cayenne (Generation 2)	□ 2015 □ 2016			

Last Name:		First Initial:

## **STEP 2: INDIVIDUAL INFORMATION**

1 . 10 . 1	II. for each the co				
	al Information				
	nplete the following infor				
former less	<u>see(s)</u> of the potentially e	eligible vehici	le. If the potentially	eligible	vehicle has multiple
owners or l	lessees, please provide	information f	or additional owner	s or less	sees below and, if
necessary,	on a separate sheet. P	lease use ea	nch individual's full l	egal nar	ne.
Is the vehicle	e owned by (check one):	☐ Privat	e Owner(s) or Lesse	e(s)	☐ Business Organization
Business Or	rganization Name(s) (if app	olicable):			
First		Middle		Last	
Name		Name		Name	
Contact Ph	one				
(Required	d)				
Email Addre	ess				
Would you li	ike to receive updates sen	t to this email	address concerning	the statu	s of the Settlements,
					to take to pursue a claim?
(If you selec	t "Yes", you will receive sta	atus notificatio	ons by email but you	will be re	quired to register online with
your claim n	umber and VIN to obtain o	letails about y	our claim on the Onli	ine Claim	is Portal. If you select "No",
	you will receive status updates about your claim by U.S. mail. You may also call Volkswagen at 1-844-98-				
CLAIM (1-844-982-5246) to obtain all status updates.) (Select one.)					
☐ YES ☐ NO					
Additional Owner or Lessee (if applicable)					
First		Middle		Last	
Name		Name		Name	
Contact Pho	Contact Phone				

Name:				Initial:			
	Registered Address						
Please provi	ide the address that	matches the registra	ation for the p	potentially eligib	le vehicle. If your		
address is di	ifferent than the veh	icle's registered add	lress or you i	no longer own o	r lease the vehicle,		
fill out the ma	ailing address in the	"Mailing Address" s	ection below	<i>'</i> .			
0, ,							
Street							
City		State		Zip Code			
Mailing Address							
Street							
			<del>_</del>				
City		State/Dravings	-	7in/Dootal Codo			
City		State/Province		Zip/Postal Code			
•	(Select one.)						
Country	,		ADA 🗆	U.S.			

Last Name: First Initial:
Additional Information
Please answer the questions below and sign below. Each Owner or Lessee listed above must
complete and sign this section.
Are there any liens against the vehicle that is the subject of this claim other than a lien associated with vehicle
financing? (If you are a lessee, you may skip this question.)
Are the names of the owner(s) listed above in Step 2 different from the name(s) on the title for the vehicle? (If
you are a lessee, you may skip this question.)
YES NO
Are you or any other individual listed on this form a Volkswagen, Audi or Porsche officer, director, employee
or participant in Volkswagen's internal lease program; an officer, director or employee of a Volkswagen, Audi
or Porsche affiliate; an officer, director or employee of a Volkswagen, Audi or Porsche distributor; an
authorized Volkswagen, Audi or Porsche dealer; or an officer or director of an authorized Volkswagen, Audi or Porsche dealer?
☐ YES ☐ NO
Are you or any other individual listed on this form a judicial officer or associated court staff assigned to this
case, or an immediate family member thereof?
☐ YES ☐ NO
Are you or any other individual listed on this form military personnel currently serving overseas or government personnel currently on assignment overseas?
YES NO
If yes, is your vehicle located overseas?
☐ YES ☐ NO
If you answer "Yes" to both of these questions, you may be subject to additional documentation requirements, as listed below.
Are you filing a claim on behalf of a decedent's estate? If you answer "Yes" to this question, you may be subject to additional documentation requirements, as listed below.
☐ By checking this box and signing this form, I certify that the above answers are true and accurate to the best of my knowledge.
Signature: Date:
Print Name:

Name: Initial:			
Additional Information (continued)			
Additional Owner or Lessee (if applicable)			
Are there any liens against the vehicle that is the subject of this claim other than a lien associ financing?	ated with vehicle		
☐ YES ☐ NO			
Are you or any other individual listed on this form a Volkswagen, Audi or Porsche officer, director, employee or participant in Volkswagen's internal lease program; an officer, director or employee of a Volkswagen, Audi or Porsche affiliate; an officer, director or employee of a Volkswagen, Audi or Porsche distributor; an authorized Volkswagen, Audi or Porsche dealer; or an officer or director of an authorized Volkswagen, Audi or Porsche dealer?			
☐ YES ☐ NO			
Are you or any other individual listed on this form a judicial officer or associated court staff assigned to this case, or an immediate family member thereof?			
☐ YES ☐ NO			
Are you or any other individual listed on this form military personnel currently serving overseas or government personnel currently on assignment overseas?			
☐ YES ☐ NO			
If yes, is your vehicle located overseas?  ☐ YES ☐ NO			
If you answer "Yes" to both of these questions, you may be subject to additional documentation as listed below.	on requirements,		
$\Box$ By checking this box and signing this form, I certify that the above answers are true and a of my knowledge.	accurate to the best		
Signature:	Date:		
Print Name:			

Last Name:		First Initial:

## **STEP 3: VEHICLE INFORMATION**

<b>Vehicle Identification</b>	Number (VIN)			
The VIN can be found on	the dashboard of the vehicle and ble for participation in the Settlem	is 17 characters long. To confirm whether ent Program, please visit		
VIN (Please provide all 17 d	igits of the eligible vehicle's VIN):			
<b>Additional Vehicle In</b>	formation			
If you are the owner or you currently lease the vehicle, please fill in the information below. If you are not the registered owner or you no longer lease the vehicle, please proceed to Step 4.				
Current Vehicle Mileage		As of Date:		
Has the odometer ever beer	n broken, altered or replaced? (Selec	ct one.)		
Did your vehicle have a Brai Salvaged on September 18,		l, Flood, Junk, Rebuilt, Reconstructed, or ☐ NO		
Was your vehicle acquired by any person or entity from a junkyard or salvage yard after September 18, 2015? (Select one.) ☐ YES ☐ NO				
Can your vehicle be driven under its own 3.0-L TDI engine power and in reasonable condition such that it can be driven lawfully and safely on public roads? (Select one.) $\square$ YES $\square$ NO				
Are you a current owner who purchased an extended vehicle warranty and/or vehicle service contract for your vehicle from an Authorized Volkswagen, Audi or Porsche Dealer prior to January 31, 2017?				
(Select one.)				

Last Name:	First Initial:
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To determine the next section that you should complete, please see below. If you have any questions about the section that you need to complete, please visit www.VWCourtSettlement.com or call 1-844-98-CLAIM.

- If you are the owner of the vehicle identified in Step 3, fill out **Step 4** and then skip to **Step 9**.
- If you <u>totaled</u> the vehicle identified in Step 3 after <u>January 31, 2017</u> and subsequently sold the vehicle to your insurance company, fill out **Step 5** and then skip to **Step 9**.
- If you currently lease the vehicle identified in Step 3, fill out **Step 6** and then skip to **Step 9**.
- If you <u>formerly leased</u> the vehicle identified in Step 3 and <u>purchased the vehicle</u> after January 31, 2017, fill out **Step 4** and then skip to **Step 9**.
- If you <u>formerly leased</u> the vehicle identified in Step 3 but <u>turned the vehicle in under the terms of the lease</u>, fill out **Step 7** and then skip to **Step 9**.
- If you <u>owned</u> the vehicle identified in Step 3 at any point between <u>September 18, 2015</u> and <u>November 2, 2015</u>, but sold or otherwise transferred ownership on or before <u>January 31, 2017</u>, fill out **Step 8** and then **Step 9**.
- If you <u>totaled</u> the vehicle identified in Step 3 on or after <u>September 18, 2015</u> and before <u>January 31, 2017</u>, fill out **Step 8** and then **Step 9**.

Last Name: First Initial:	
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## **STEP 4: CURRENT OWNERSHIP INFORMATION**

Ownership Information		
If you are the owner of the vehicle identified in St		
are not the owner of the vehicle, please skip to S	tep 5.	
Which of the statements below most accurately descr	ibes the ownership of the vehicle? (Select one.)	
$\square$ I am the owner of this vehicle. There is $\underline{no}$ loan or	n this vehicle title.	
$\square$ I am the owner of this vehicle. There is an outstar on.	nding loan attached to the title that I make payments	
Please enter the date that you purchased the vehicle.	. Documentation will be required.	
MONTH: DAY: YEA	·	
Did you purchase this vehicle at the end of a lease from Porsche Financial Services, Inc.? (Select one.)	om Volkswagen Credit, Inc., Audi Financial Services,	
Vehicle Financing Information		
Complete this section <u>only if</u> there is currently an if you checked "I am the owner of this vehicle. The that I make payments on" above).		
Account Holder(s) First Name(s)  Account Holder(s) Holder(s) Initial(s)	Account Holder(s) Last Name(s)	
Name of Lender:		
Lender Address:	Lender Phone Number:	
What is the current outstanding loan balance? (This i	information will be verified.) \$	
Account Number:	Last 4 Digits of Social Security  Number associated with account:	
☐ By checking this box and signing this form, I authorize the release of all information pertaining to my lease, loan or title, including but not limited to contract, interest paid, payment history, and payoff information, to Volkswagen of America, Inc., an organizational unit of Volkswagen Group of America, Inc., Ankura Consulting Group, LLC, as the Court-Appointed Claims Supervisor, and lawyers appointed by the Court as Settlement Class Counsel in the Volkswagen "Clean Diesel" litigation.		
Signature of Owner(s):	Date:	

Last Name:			First Initial:	
Print Name of Own	er(s):			
<b>Preferred Deal</b>	lership			
authorized Volksv your preferred dea A list of authorized	trade-ins and emissions mod vagen, Audi or Porsche dealer alership below. This selection d Volkswagen, Audi or Porsch n, http://www.porsche.com/usa	ship to complete the is not binding and educate the dealerships can be	e transaction can be chain be found at	on. Please indicate nged at a later time.  www.vw.com,
Dealership Name				
Dealership City		Dealership State		

Last Name: First Initial:
Offer Selection  If you own a Generation 1 vehicle and you did not purchase your vehicle at the end of a lease on
or after January 31, 2017, please select one of the three options below.
Vehicle Buyback
Buybacks are available to Owners of Generation 1 vehicles who choose to <u>return their vehicles</u> for payment. If you select the Buyback option, you will receive the Buyback payment, which will be comprised of your Vehicle Value and Owner Restitution. If this vehicle was formerly owned or leased by another owner or lessee and that former owner or lessee files a valid claim, the Owner Restitution Payment will be reduced by 50%. If that claim is later deemed not eligible, you will receive a top-up payment for any amounts associated with that claim.  The amount received under this option will be affected by the vehicle mileage on the vehicle buyback date and the amount of any outstanding loan obligations on the vehicle
buyback date and the amount of any outstanding loan obligations on the vehicle.
☐ I elect the Buyback option. (You may change this selection later.)
Emissions Modification & Extended Emissions Warranty (if approved by EPA & CARB)
Owners of Generation 1 vehicles who choose to <u>keep their vehicles</u> and receive an Approved Emissions Modification free of charge will receive an Owner Restitution Payment. If this vehicle was formerly owned by another owner and that former owner files a valid claim, Owner Restitution payment will be reduced by 50%. If that claim is later deemed not eligible, you will receive a top-
up payment for any amounts associated with that claim.
Vehicles receiving an Approved Emissions Modification will also receive an <a href="mailto:extended emissions">extended emissions</a> <a href="mailto:warranty">warranty</a> covering the emissions system of the eligible vehicle.

Last Name: First Initial:
Offer Selection (cont.)
Vehicle Trade-In
Owners who choose to return their vehicles for Trade-In Credit at a participating Volkswagen, Porsche, or Audi Authorized Dealer. The Trade-In Credit includes the same components as the Vehicle Buyback, the Vehicle Value and Owner Restitution. If this vehicle was formerly owned or leased by another owner or lessee and that former owner or lessee files a valid claim, the Owner Restitution component will be reduced by 50%. If that claim is later deemed not eligible, you will receive a top-up payment for any amounts associated with that claim.
If the Trade-In Credit exceeds the total price of the vehicle acquired through the Trade-In Option, the Eligible Owner will be entitled to the difference between the amount of the Trade-In Credit and the total retail transaction price for the newly acquired vehicle, in whatever form is negotiated between the Eligible Owner and the Volkswagen, Porsche, and Audi Authorized Dealer.
The amount received under this option will be affected by the vehicle mileage on the vehicle buyback date and the amount of any outstanding loan obligations on the vehicle.
☐ I elect the Trade-In option. (You may change this selection later.)
If you <u>own</u> a Generation 2 vehicle and you <u>did not purchase your vehicle at the end of a lease on</u> <u>or after January 31, 2017</u> , please select <u>the option below</u> .
Emissions Compliant Repair & Extended Emissions Warranty (if approved by EPA & CARB)
Eligible Owners will receive an Emissions Compliant Repair free of charge and an additional Owner Repair Payment. If this vehicle was formerly owned or leased by another owner or lessee and that former owner or lessee files a valid claim, the additional Owner Repair Payment will be reduced by 50%. If that claim is later deemed not eligible, you will receive a top-up payment for any amounts associated with that claim.
Vehicles receiving an Approved Emissions Modification will also receive an extended emissions warranty covering the emissions system of the eligible vehicle.
☐ I elect the Emissions Compliant Repair. (You may change this selection later.)

Last Name: First Initial:
Offer Selection (cont.)
If you <u>currently own</u> your vehicle and <u>purchased it at the end of a lease from Volkswagen Credit,</u> <u>Inc.</u> , <u>Audi Financial Services</u> , <u>or Porsche Financial Services</u> , <u>Inc.</u> on or after January 31, 2017, the remedy below is available to you for the settlement of your claim.
Emissions Modification & Extended Emissions Warranty (if approved by EPA & CARB)
Current Generation 1 Owners who purchased their vehicles at the end of a lease from Volkswagen Credit, Inc., Audi Financial Services, or Porsche Financial Services, Inc. on or after January 31, 2017 and choose to receive an Approved Emissions Modification free of charge will receive a Lessee Restitution Payment.
Current Generation 2 owners who purchased their vehicles at the end of a lease from Volkswagen Credit, Inc., Audi Financial Services, or Porsche Financial Services Inc. after January 31, 2017 will receive the Emissions Compliant Repair free of charge and a Lessee Repair Payment.
Vehicles receiving an approved emissions modification will also receive an <b>extended emissions</b> warranty covering the emissions system of the eligible vehicle.

☐ I elect the Emissions Modification option. (You may change this selection later.)

Last Name: First Initial:
Required Documentation – Buyback – or Trade-In
If you own your vehicle and selected the Buyback or Trade-In option above, you must submit a copy of
each of the following types of documentation with your claim form.
<ul> <li>Current valid government issued photo identification of each Owner named in Step 2 (front and back).</li> </ul>
If the vehicle is owned by a corporate entity, a current valid government issued photo identification
of the individual that is authorized to transact the Buyback or Trade-In on behalf of the corporate entity.
<ul> <li>Copy of vehicle title (front and back). If you do not have a copy of the vehicle title because there is a loan on your vehicle and the lender has the vehicle title, please indicate that you do not have a copy of the vehicle title by checking the box below.</li> </ul>
☐ cannot provide a copy of my vehicle title because it is in the possession of the lender that financed my vehicle.
If you cannot provide a copy of your vehicle title, you must provide some other form of proof of ownership, which may include:
<ul> <li>Current valid vehicle registration or the most recent registration for the vehicle referenced in Step 3. Note: if you are a non-Volkswagen dealer, you may provide a Bill of Sale in lieu of vehicle registration.</li> <li>Copy of current vehicle insurance.</li> </ul>
<ul> <li>If you are not the owner and are filing a claim on behalf of someone else, you must execute a power of attorney form. To obtain a power of attorney form, please visit the "Documents" section of www.VWCourtSettlement.com or call 1-844-98-CLAIM.</li> </ul>
<ul> <li>If the vehicle is registered to a corporate entity, a corporate document showing your authority to transact on behalf of the corporate entity, such as Articles of Incorporation, Partnership Agreement or Certificate of Assumed Name/Doing Business As ("DBA") Filing.</li> </ul>
<ul> <li>If you selected the box indicating that you are filing a claim on behalf of a decedent's estate, please submit both of the following documents. If you did not select this box, you do not need to submit either document.</li> <li>A death certificate for the decedent; and</li> </ul>

- A document showing your authority to transact on behalf of the decedent.
- If you selected the box indicating that you have a Branded Title of Assembled, Dismantled, Flood, Junk, Rebuilt, Reconstructed, or Salvaged on September 18, 2015, submit **one** of the following documents. If you did not select this box, you do not need to submit any of these documents.
  - o Copy of vehicle registration dated prior to September 18, 2015; or
  - o Copy of vehicle title dated prior to September 18, 2015; or
  - o Bill of Sale; or
  - o Insurance policy dated prior to September 18, 2015.

Name:				Initial:		
Required Docum	nentation – Ap	proved En	nissions M	odification		
If you are currently ow must submit a copy of						⁄ou
<ul> <li>Current valid g back).</li> </ul>	government issued p	hoto identifica	ation of the Ow	ner(s) named ir	n <b>Step 2</b> (front and	1
	s owned by a corpo al that is authorized y.					
	rehicle registration or re a non-Volkswage					р 3.
	provide a copy of yo ship, which may inc		e registration,	you must provic	de some other form	ı of
	of current vehicle ins of vehicle title (front					
To obtain a po	the owner and are fi wer of attorney form tSettlement.com or	n, please visit	the "Documen		ower of attorney for	rm.
transact on bel	s registered to a cor half of the corporate of Assumed Name/E	entity, such	as Articles of Ir	ncorporation, Pa		
submit <b>both of</b> either documer	I the box indicating of the following docu nt. In certificate for the comment showing your	ments. If you lecedent; and	did not select	this box, you do	not need to subm	
	e skip to Ste	•				

Last Name:		First Initial:
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# STEP 5: VEHICLE TOTALED AFTER JANUARY 31, 2017 AND SOLD TO AN INSURANCE COMPANY

	IO AN INS	ONAINCE COMITAINT	
<b>Ownership Informati</b>	ion		
permanently removed it fi	rom commerce, p n insurance comp	l, 2017 and sold it to an insurance please fill out the information below pany or remove it from commerce a	. If you did not total
Please enter the date that y	ou purchased the	vehicle. Documentation will be require	ed.
MONTH:	DAY:	YEAR:	
Please enter the date that y	ou totaled your vel	nicle. Documentation will be required.	
MONTH:	DAY:	YEAR:	
Please enter the name of th	ne insurance compa	any to which the vehicle was sold.	
		company, please describe the manne erce, including the name and address	

Last First Initial:	
Traino.	
Offer Selection	1
	l
If you totaled and sold to an insurance company or removed from commerce the vehicle identified	l
in Step 3, below is the remedy available to you for the settlement of your claim.	l
Eligible Owner Restitution	
An Owner Restitution Payment is available to Owners who totaled their vehicle after January 31, 2017 and sold it to an insurance company or permanently removed it from commerce. If this vehicle was formerly owned by another owner and that former owner files a valid claim, the Owner Restitution Payment will be reduced by 50%. If that claim is later deemed not eligible, you will	

For Generation 2 owners, an Owner Repair Payment is available to Owners who totaled their vehicle after January 31, 2017 and sold it to an insurance company or permanently removed it from commerce. If this vehicle was formerly owned or leased by another owner or lessee and that former owner or lessee files a valid claim, the eligible owner restitution will be reduced by 50%. If that claim is later deemed not eligible, you will receive a top-up payment for any amounts associated with that claim.

I elect the Eligible Owner Restitution option (for Generation 1 owners).
I elect the Owner Repair Payment option (for Generation 2 owners).

## Required Documentation – Eligible Owner Restitution/Repair Payment for Totaled Vehicles

If you own your vehicle and selected the Owner Restitution option above, you must submit a copy of each of the following types of documentation with your claim form.

- Current valid government issued photo identification of each Owner named in **Step 2** (front and back).
- If the vehicle was owned by a corporate entity, a current valid government issued photo
  identification of the individual that is authorized to transact the Buyback on behalf of the corporate
  entity.
- Proof of ownership, which may include one of the following documents:

receive a top-up payment for any amounts associated with that claim

- Valid vehicle registration as of the date the vehicle was totaled for the vehicle referenced in Step 3. Note: if you are a non-Volkswagen dealer, you may provide a Bill of Sale in lieu of vehicle registration.
- Copy of valid prior title (front and back)
- If you selected the box indicating that your vehicle <u>cannot</u> be driven under its own 3.0-L TDI engine power and your vehicle was branded as totaled after January 31, 2017, submit **one** of the following documents. If you did not select this box, you do not need to submit these documents:

Last Name: First Initial:												
<ul> <li>Letter from insurance company indicating that the vehicle was totaled, was sold to the</li> </ul>												
insurance company and the date; or												
<ul> <li>Copy of vehicle title showing transfer of title to your insurance company.</li> </ul>												
If you did not sell your vehicle to an insurance company, please describe the manner by which you												
permanently removed your vehicle from commerce, including the name and address of the entity												
that assisted in its removal.												
If you were not the owner and are filing a claim on behalf of someone else, you must execute a  To obtain a power of other participants. To obtain a power of other participants are presented in the property of the pro												
power of attorney form. To obtain a power of attorney form, please visit the "Documents" section of www.VWCourtSettlement.com or call 1-844-98-CLAIM.												
If the vehicle was registered to a corporate entity, a corporate document showing your authority to  transact on healt of the corporate entity, such as Articles of Incorporation, Partnership Agreement.												
transact on behalf of the corporate entity, such as Articles of Incorporation, Partnership Agreement or Certificate of Assumed Name/Doing Business As ("DBA") Filing.												
<ul> <li>If you selected the box indicating that you are filing a claim on behalf of a decedent's estate, please</li> </ul>												
submit <b>both of</b> the following documents. If you did not select this box, you do not need to submit												
either document.												
A death certificate for the decedent; and												
<ul> <li>A document showing your authority to transact on behalf of the decedent.</li> </ul>												
If you selected the box indicating that you have a Branded Title of Assembled, Dismantled, Flood,												
Junk, Rebuilt, Reconstructed, or Salvaged on September 18, 2015, submit <b>one</b> of the following												
documents. If you did not select this box, you do not need to submit any of these documents.												
<ul> <li>Copy of vehicle registration dated prior to September 18, 2015; or</li> </ul>												
<ul> <li>Copy of vehicle title dated prior to September 18, 2015; or</li> </ul>												
o Bill of Sale; or												
<ul> <li>Insurance policy dated prior to September 18, 2015.</li> </ul>												
Please skin to Sten 0 to sign and complete this form												

Last Name:		First Initial:

## **STEP 6: CURRENT LESSEE INFORMATION**

Lessee Inform	ation									
Lessee Information  If you <u>currently</u> lease the vehicle identified in Step 3 from Volkswagen Credit, Inc., Audi Financial Services, or Porsche Financial Services, Inc., please fill out the information below. If you do not currently lease the vehicle, please skip to Step 7.										
Is your lease through Volkswagen Credit, Inc., Audi Financial Services, or Porsche Financial Services, Inc.? (Select one.)										
	☐ YES	S □ NO								
Please enter the date that you started your lease of the vehicle. This will be independently verified.  MONTH:  DAY:  YEAR:										
By checking this box and signing this form, I authorize the release of all information pertaining to my lease, loan or title, including but not limited to: contract, interest paid, payment history and payoff information, to Volkswagen of America, Inc., an organizational unit of Volkswagen Group of America, Inc., and Ankura Consulting Group, LLC, as the Court-Appointed Claims Supervisor.										
Signature of Lessee(s):  Date:										
Print Name of Lessee(s):										
Preferred Dealership										
Vehicle lease terminations and emissions modifications (if approved) will require a visit to an authorized Volkswagen, Audi or Porsche dealership to complete the transaction. Please indicate your preferred dealership below. This selection is not binding and can be changed at a later time if you choose.										
A list of authorized Volkswagen, Audi or Porsche dealerships can be found at <a href="www.vw.com">www.vw.com</a> , <a href="www.vw.com">http://www.porsche.com/usa/</a> , or by calling 1-844-98-CLAIM.										
Dealership Name										
Dealership City		Dealership State								

Last Name:		First Initial:

#### Offer Selection

If you <u>currently lease</u> your vehicle from Volkswagen Credit, Inc., Audi Financial Services, or Porsche Financial Services, Inc. please select <u>one</u> of the two options below for the settlement of your claim. You may change your selection at any time by calling 1-844-98-CLAIM (1-844-982-5246).



Current Lessees of Generation 1 vehicles who choose to <u>terminate their leases early</u> will receive a Lessee Restitution Payment. Current Lessees electing this option will be required to have an end of term inspection conducted on their vehicles and will be responsible for wear and tear, late payments and other amounts due, such as fees associated with late payments, or costs associated with tickets and tolls (<u>except early termination fee</u>) per the terms of the Lease Agreement.

☐ I elect the Early Lease Termination option. (You may change this selection later.)



## Emissions Modification & Extended Emissions Warranty (if approved by EPA & CARB)

Current Lessees of Generation 1 vehicles who choose to <u>keep their vehicles</u> and receive an Approved Emissions Modification free of charge will also receive a Lessee Restitution Payment and an **extended emissions warranty** covering the emissions system of the eligible vehicle.

☐ I elect the Emissions Modification option. (You may change this selection later.)

## Required Documentation – Lease Termination

If you currently lease your vehicle and selected the Lease Termination option above, you must submit a copy of each of the following types of documentation with your claim form.

- Current valid government issued photo identification of the Lessee(s) named in **Step 2** (front and back). If the vehicle is leased to a corporate entity, a current valid government issued photo identification of the individual that is authorized to transact the Lease Termination on behalf of the corporate entity.
- If you are not the vehicle lessee and are filing a claim on behalf of someone else, you must execute a
  power of attorney form. To obtain a power of attorney form, please visit the "Documents" section of
  www.VWCourtSettlement.com or call 1-844-98-CLAIM.
- If the vehicle is leased to a corporate entity, a corporate document showing your authority to transact on behalf of the corporate entity, such as Articles of Incorporation, Partnership Agreement or Certificate of Assumed Name/Doing Business As ("DBA") Filing.
- If you selected the box indicating that you are filing a claim on behalf of a decedent's estate, please submit **both of** the following documents. If you did not select this box, you do not need to submit either document.
  - o A death certificate for the decedent; and

	Last Name:			First Initial:
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• A document showing your authority to transact on behalf of the decedent.

### **Required Documentation – Approved Emissions Modification**

If you currently lease your vehicle and selected the Approved Emissions Modification option above, you must submit a copy of each of the following types of documentation with your claim form.

- Current valid government issued photo identification of the Lessee(s) named in **Step 2** (front and back)
- If the vehicle is leased to a corporate entity, a current valid government issued photo identification of the individual that is authorized to transact the Approved Emissions Modification on behalf of the corporate entity.
- If you are not the vehicle lessee and are filing a claim on behalf of someone else, you must execute a
  power of attorney form. To obtain a power of attorney form, please visit the "Documents" section of
  www.VWCourtSettlement.com or call 1-844-98-CLAIM.
- If the vehicle is leased to a corporate entity, a corporate document showing your authority to transact
  on behalf of the corporate entity, such as Articles of Incorporation, Partnership Agreement or Certificate
  of Assumed Name/Doing Business As ("DBA") Filing.
- If you selected the box indicating that you are filing a claim on behalf of a decedent's estate, please submit **both of** the following documents. If you did not select this box, you do not need to submit either document.
  - o A death certificate for the decedent; and
  - o A document showing your authority to transact on behalf of the decedent.

Please skip to Step 9 to sign and complete this form.

Last Name:		First Initial:

## **STEP 7: FORMER LESSEE INFORMATION**

Lessee Information										
If you <u>formerly</u> leased your vehicle from Volkswagen Credit, Inc., Audi Financial Services, or Porsche Financial Services, Inc. and did not purchase the vehicle at the end of the lease, please fill out the information below. If you did not formerly lease the vehicle, please skip to Step 8.										
Did you lease this vehicle through Volkswagen Credit, Inc., Audi Financial Services, or Porsche Financial Services, Inc.? (Select one.)										
	□ YES □ NO									
Account Holder(s)         Account Holder(s)         Account Holder(s)           First Name(s)         Middle Initial(s)         Last Name(s)										
Account Num	ber:		Last 4 Digits of Social Security  Number associated with account:							
Please enter the date that you started your lease of the vehicle. This will be independently verified.  MONTH:  DAY:  YEAR:										
Please enter the date when you ended your lease of the vehicle. This will be independently verified.  MONTH:  DAY:  YEAR:										
By checking this box and signing this form, I authorize the release of all information pertaining to my lease, loan or title, including but not limited to: contract, interest paid, payment history and payoff information, to Volkswagen of America, Inc., an organizational unit of Volkswagen Group of America, Inc., and Ankura Consulting Group, LLC, as the Court-Appointed Claims Supervisor.  Signature of Lessee(s):										
Print Name of Lessee(s):										

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#### **Offer Selection**

If you had an active lease through Volkswagen Credit, Inc., Audi Financial Services, or Porsche Financial Services, Inc. as of <u>September 18, 2015</u> but returned your vehicle at the end of your lease term, below is the remedy available to you for the settlement of your claim. Note that in order to receive benefits under the Settlements as a Former Lessee, you must submit a complete claim with any required documentation no later than July 1, 2017 in order to be eligible for Former Lessee compensation.



Former Lessees of Generation 1 vehicles will receive a Lessee Restitution Payment.

☐ I elect the Former Lessee Restitution option.



Lessee Repair Payment

(available to Generation 2 owners)

Former Lessees of Generation 2 vehicles will receive a Lessee Repair Payment.

☐ I elect the Lessee Repair Payment.

## **Required Documentation – Former Lessee Restitution**

If you formerly leased your vehicle and selected the Former Lessee Restitution option above, you must submit a copy of each of the following types of documentation with your claim form.

- Current valid government issued photo identification of the Lessee(s) named in Step 2 (front and back).
- If the vehicle was leased to a corporate entity, a current valid government issued photo identification of the individual that is authorized to transact on behalf of the corporate entity.
- If you are not the former lessee and are filing a claim on behalf of someone else, you must execute a
  power of attorney form. To obtain a power of attorney form, please visit the "Documents" section of
   <u>www.VWCourtSettlement.com</u> or call 1-844-98-CLAIM.
- If the vehicle was leased to a corporate entity, a corporate document showing your authority to transact on behalf of the corporate entity, such as Articles of Incorporation, Partnership Agreement or Certificate of Assumed Name/Doing Business As ("DBA") Filing.
- If you selected the box indicating that you are filing a claim on behalf of a decedent's estate, please submit **both of** the following documents. If you did not select this box, you do not need to submit either document.
  - A death certificate for the decedent; and
  - o A document showing your authority to transact on behalf of the decedent.

Please skip to Step 9 to sign and complete this form.

Last Name:			First Initial:	

SIEP 8: FURWER OWNER INFURWATION								
Former Owner Information  If you formerly owned the vehicle identified in Step 3 as of September 18, 2015 but sold or otherwise transferred ownership of the vehicle on or before January 31, 2017, please fill out the information below. Note that in order to receive benefits under the Settlements as a Former Owner, you must have identified yourself no later than May 1, 2017. You must also submit a complete claim with any required documentation no later than July 1, 2017 in order to be eligible for Former Owner compensation. If you did not formerly own the vehicle, please skip to Step 9.  Did you own the vehicle on September 18, 2015 and/or November 2, 2015? (Select one.)  YES  NO  Did you sell the vehicle on or before January 31, 2017? (Select one.)								
☐ YES ☐ NO								
Please enter the date that you purchased the vehicle. Documentation will be required.  MONTH:  DAY:  YEAR:								
Please enter the date that you sold the vehicle. Documentation will be required.  MONTH:  DAY:  YEAR:								
Offer Selection  If you owned the vehicle as of <u>September 18, 2015 and/or November 2, 2017</u> and sold or otherwise transferred ownership of the vehicle on or before <u>January 31, 2017</u> , the remedy below is available to you for the settlement of your claim.								
Former Owner Restitution (available to Generation 1 owners)								
Former Owners of Generation 1 vehicles will receive Former Owner Restitution. If this vehicle has more than one former owner who files a valid claim, the Former Owner Restitution will be reduced by 50%. There cannot be more than two Eligible Former Owners								
To claim benefits under the Settlements, you must have identified yourself as a Former Owner no later than May 1, 2017 by (1) registering at <a href="https://www.vwcourtSettlement.com">www.vwcourtSettlement.com</a> or (2) filling out and returning the Former Owner Identification Form; and you must also submit a complete claim with any required documentation no later than July 1, 2017.								
☐ I registered as a Former Owner either online or by submitting the Former Owner Form. I elect to receive Former Owner Restitution.								

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## **Former Owner Repair Payment**

(available to Generation 2 owners)

Former Owners of Generation 2 vehicles will receive a Former Owner Repair Payment. If this vehicle has more than one former owner who files a valid claim, the former owner restitution will be reduced by 50%. There cannot be more than two Eliqible Former Owners.

To claim benefits under the Settlements, you must have identified yourself as an Eligible Former Owner no later than May 1, 2017 by (1) registering at <a href="https://www.VWCourtSettlement.com">www.VWCourtSettlement.com</a> or (2) filling out and returning the Former Owner Identification Form; and you must also submit a complete claim with any required documentation no later than July 1, 2017.

☐ I registered as an Eligible Former Owner either online or by submitting the Eligible Seller Form. I elect to receive Former Owner Repair Payment.

### **Required Documentation – Former Owner Restitution**

If you formerly owned your vehicle and selected the Former Owner Restitution or Repair Payment option above, you must submit a copy of each of the following types of documentation with your claim form.

- Current valid government issued photo identification of the former Owner(s) named in **Step 2** (front and back).
- If the vehicle was owned by corporate entity, a current valid government issued photo identification of the individual that is authorized to transact on behalf of the corporate entity.
- Proof of former ownership and sale, submit one of the following documents:
  - Copy of the Bill of Sale identifying the former Owner(s) named in Step 2 as the seller, the date
    of sale and the vehicle VIN;
  - Copy of vehicle title identifying the former Owner(s) named in Step 2 as the transferor, the date
    of the transfer and the vehicle VIN;
  - Proof of notification to the DMV (or applicable state agency) that the vehicle was sold and the registration was canceled, including the date and vehicle VIN.
- If you selected the box indicating that your vehicle <u>cannot</u> be driven under its own 3.0-L TDI engine power and your vehicle was branded as totaled between September 18, 2015 and June 28, 2016, submit **one** of the following documents. If you did not select this box, you do not need to submit either document:
  - Letter from insurance company indicating that the vehicle was totaled, was sold to the insurance company and the date; or
  - Copy of vehicle title showing transfer to your insurance company.
- A power of attorney form if you are not the former owner and are filing a claim on behalf of someone else. To obtain a power of attorney form, please visit the "Documents" section of www.VWCourtSettlement.com or call 1-844-98-CLAIM.
- If the vehicle was owned by a corporate entity, a corporate document showing your authority to transact

Last Name:															First Initial:							
,																						
on b	oehalf of	the c	corpor	ate er	ntity,	such	n as	Arti	cles	of In	corp	oorat	ion, F	art	nershi	οА	green	nent	or Ce	rtificat	te	i

of Assumed Name/Doing Business As ("DBA") Filing.

- If you selected the box indicating that you are filing a claim on behalf of a decedent's estate, please submit both of the following documents. If you did not select this box, you do not need to submit either document.
  - A death certificate for the decedent; and
  - A document showing your authority to transact on behalf of the decedent.

Please proceed to Step 9 to sign and complete this form.

Last Name:				First Initial:
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## **STEP 9: SUBMISSION, CONSENT & SIGNATURE**

By signing below I agree to allow Volkswagen Group of America, Inc., Volkswagen of America, Inc. (collectively, "VWGoA") and Ankura Consulting Group, LLC, as the Court-Appointed Claims Supervisor ("Ankura") to retain and use the information provided in this form to accomplish the purposes relevant to processing a proof of claim related to the Settlements or Settlements proceedings including but not limited to contacting me with questions, updates or information using any or all of the provided information.

I provide permission for VWGoA and Ankura to use, or share with third parties, the information in this form to administer the Settlements, which includes accessing, using, preserving or disclosing the information when reasonably necessary to (a) comply with legal process or otherwise satisfy any applicable law or regulation; (b) prevent fraud or imminent harm; (c) ensure the security of information; or (d) address technical issues. For additional details concerning the use and disclosure of information collected in connection with the administration of the Settlements, please visit <a href="https://www.vwcourtSettlement.com">www.vwcourtSettlement.com</a> and click on the link titled "Privacy Policy".

Signature:	Date:
Signature.	Date.
Print Name:	
Fillit Name.	
Signature:	Date:
oignature.	Date.
Print Name:	
Tille Name.	

Submit this completed form along with any required documentation <u>on or before June 1, 2019</u> for Generation 1 vehicles or <u>on or before December 31, 2019</u> for Generation 2 vehicles by U.S. Mail or fax to the following:

VW / Audi Emissions Settlement Claims Processing P.O. Box 214500 Auburn Hills, MI 48321 FAX: 248-754-6602

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